

# Advanced Diploma in Compliance Risk Management

Learn from senior Compliance leaders and practitioners with local and global coverage

Future proof with new emerging Compliance knowledge

Eligible for IBF Advanced Certifications



Wealth Management Institute  
One Marina Boulevard, #16-01



Up to 70% IBF Funding for Singaporeans and Permanent Residents

Contact us at [WMCompliance@wmi.edu.sg](mailto:WMCompliance@wmi.edu.sg)





In today's increasingly regulated environment, the role of compliance, risk, audit, and legal professionals is more crucial than ever. While it was once considered "nice-to-have" skills, understanding and navigating complex risks and regulations are now considered essential in this fast-changing world.

The Advanced Diploma in Compliance Risk Management is crafted to provide essential skills for navigating complex regulations through a combination of core modules and specialised electives. You will gain expertise in **Compliance Strategy, Policy Development, and Incident Management**, alongside advanced technologies such as **Data Analytics** and **Regulatory Technology**.

The programme offers **Specialisations** in:

 <p>Financial Crime Monitoring, Surveillance and Controls</p>	 <p>Regulatory Compliance in Financial Services</p>
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And **Electives** in:

 <p>Family Office Risk Management and Controls</p>	 <p>ESG Risk Management and Controls</p>	 <p>Digital Assets-Regulatory &amp; Compliance</p>	 <p>Compliance Change Transformation</p>
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Led by industry experts, the programme features practical, hands-on learning with interactive sessions and case studies. Graduates will earn IBF Level 2 certifications and an Advanced Diploma in Compliance Risk Management, equipping them to effectively tackle regulatory challenges.

## Who should attend

This course is designed for professionals with 3 years and above of relevant experience in a control function in financial services, such as:

- Risk
- Internal Audit
- Legal
- Compliance Advisory
- Monitoring and Surveillance
- Compliance Analytics
- External Lawyers
- Auditors Advising Financial Institutions

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# Course Structure & Fee

Relevant Fees	Duration (hrs)	Relevant Fees	
		Singaporean Aged Below 40 / PR	Singaporean Aged 40 & Above
Application Fees		S\$85.00	S\$85.00
<b>Core (compulsory)</b>			
1. Compliance Risk and Compliance Culture	13	S\$1,357.00	S\$897.00
2. Compliance Strategy, Policy and Advice	13	S\$1,357.00	S\$897.00
3. Handling Serious Compliance Incidents & Performance Management	13	S\$1,357.00	S\$897.00
4. Compliance Data Analytics	13	S\$1,357.00	S\$897.00
5. Compliance/Regtech and Project Management	13	S\$1,357.00	S\$897.00
<b>Specialisation* (choose 1)</b>			
<b>Financial Crime Compliance Track</b>			
1. Financial Crime Monitoring, Surveillance and Controls	16	S\$1,652.00	S\$1,092.00
<b>Regulatory Compliance Track</b>			
2. Regulatory Compliance in Financial Services	16	S\$1,652.00	S\$1,092.00
<b>Electives^ (choose 1)</b>			
1. Family Office Risk Management and Controls	8	S\$1,180.00	S\$780.00
2. ESG Risk Management and Controls	8	S\$767.00	S\$507.00
3. Digital Assets-Regulatory & Compliance	8	S\$767.00	S\$507.00
4. Compliance Change Transformation	8	S\$767.00	S\$507.00
<b>Overall</b>			
Programme Fee		S\$9,204.00 - S\$9,617.00	S\$6,084.00 - S\$6,357.00

Total required number of modules: 7

# Meet Your Faculty



**Matthias Supper**  
Global Head of Compliance,  
Bank Julius Baer



**Bruce Forbes**  
Global Head of Investigations,  
Prudential PLC



**Adriel Loh**  
Group Head of Regulatory  
Compliance, OCBC Bank



**Hestie Reinecke**  
Prev. Chief Compliance Officer,  
Prudential PLC



**Lim Siew Lee**  
Prev Group Head of AML/CFT,  
UOB, IBF Fellow



**Loretta Yuen**  
Head of Group Legal &  
Compliance, OCBC Bank, IBF  
Distinguished Fellow



**Mathilde Swierczynska**  
Prev Head of Private Wealth  
Compliance, APAC, SMBC



**Tan Wee Soon**  
Singapore Compliance and  
Risk Management Head,  
Bank Julius Baer, IBF Fellow